

NOTICE

Notice is hereby given that the First Annual General Meeting of the members of Legal Entity Identifier India Limited will be held on Thursday, August 4, 2016 at 5.30 p.m at CCIL Bhavan, College Lane, Off. S. K. Bole Road, Dadar (West), Mumbai – 400 028 to transact the following business:

ORDINARY BUSINESS:

1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial period ended March 31, 2016, the reports of the Board of Directors and Auditors thereon.
2. To consider, and if thought fit, to pass, with or without modification(s), the following resolution as a **Special Resolution:**

“RESOLVED THAT pursuant to the provisions of Sections 139, 140 and all other applicable provisions of the Companies Act, 2013 and Rules framed thereunder, as amended from time to time, M/s Kalyaniwalla & Mistry, Chartered Accountants (Reg. no. 104607W) be and is hereby appointed as statutory auditors of the Company in place of the first auditors, M/s Lodha & Company Chartered Accountants (Reg. no 301051E), to hold office from the conclusion of the first Annual General Meeting until the conclusion of the sixth Annual General Meeting at the remuneration of Rs. 60,000/- towards audit fees exclusive of out of pocket expenses and service tax (which is to be reimbursed at actuals) for the financial year 2016-2017 and subject to the ratification by the members at every Annual General Meeting, the reappointment of Statutory Auditors for the Financial Years 2017-18 to 2020-2021 at such remuneration as may be decided by the Board, for the said financial years.”

SPECIAL BUSINESS

3. To consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution :**

“RESOLVED THAT Shri R. Sridharan (holding DIN: 00868787) who was named as one of the First Directors by the Board of Directors at their meeting held on

November 3, 2015 pursuant to Article 127 of the Articles of Association of the Company and holds office as such upto the date of this Annual General Meeting in terms of Section 152 of the Companies Act, 2013 and in respect of whom the Company has received a notice in writing under Section 160 of the Companies Act, 2013 from The Clearing Corporation of India Limited, a member, proposing his candidature for the office of a Director be and is hereby appointed as a Director of the Company, liable to retire by rotation .”

4. To consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution** :

“**RESOLVED THAT** Shri V. Chandrasekaran (holding DIN: 03126243) who was named as one of the First Directors by the Board of Directors at their meeting held on November 3, 2015 pursuant to Article 127 of the Articles of Association of the Company and holds office as such upto the date of this Annual General Meeting in terms of Section 152 of the Companies Act, 2013 and in respect of whom the Company has received a notice in writing under Section 160 of the Companies Act, 2013 from The Clearing Corporation of India Limited, a member, proposing his candidature for the office of a Director be and is hereby appointed as a Director of the Company, liable to retire by rotation .”

5. To consider, and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution** :

“**RESOLVED THAT** Smt. Varsha Purandare (holding DIN: 05288076) who was named as one of the First Directors by the Board of Directors at their meeting held on November 3, 2015 pursuant to Article 127 of the Articles of Association of the Company and holds office as such upto the date of this Annual General Meeting in terms of Section 152 of the Companies Act, 2013 and in respect of whom the Company has received a notice in writing under Section 160 of the Companies Act, 2013 from The Clearing Corporation of India Limited, a member, proposing her

candidature for the office of a Director be and is hereby appointed as a Director of the Company, liable to retire by rotation .”

**By Order of the Board of Directors,
For Legal Entity Identifier India Limited**

Sd/-

**R. Sridharan
Director
(DIN: 00868787)**

Mumbai, July 8, 2016

Registered Office:

CCIL Bhavan,

College Lane, Off S. K. Bole Road,

Dadar (West), Mumbai - 400 028

Tel: 61546200 ♦ Fax: 24326042

Website: www.ccilindia-lei.co.in

CIN- U74900MH2015PLC268921

Email id- ssd@ccilindia.co.in

Prominent Landmark: Near Our Lady of Salvation Church (Portuguese Church)

NOTES:

1. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF AND SUCH PROXY NEED NOT BE A MEMBER OF THE COMPANY.
2. A proxy shall not have the right to speak and shall not be entitled to vote except on a poll.
3. Proxies, if any, in order to be effective must be received along with the notarized copy of the power of attorney or other authority under which it is duly signed, at the Company's Registered Office, either in person or through post, not later than 48 hours before the time fixed for holding the meeting.

4. A member holding more than 10% of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person / shareholder.
5. Explanatory Statement pursuant to Section 102(1) of the Companies Act, 2013, in respect of the business Items No. 2 to 5 set out above is annexed hereto.
6. Documents, if any, referred to in the Notice may be inspected at the Registered Office of the Company on any working day during business hours between 10.30 A.M to 12.30 P.M. up to the date of Meeting.
7. Corporate members intending to send their authorized representatives to attend the Meeting are requested to send a certified copy of the Board Resolution authorizing their representatives to attend and vote on their behalf at the Annual General Meeting.
8. Members / Proxies are requested to bring their copies of the Annual Report 2015-16 and duly filled attendance slips sent herewith, at the Meeting.
9. Members who desire to register their email addresses with the Company for receiving all communications including Annual report, Notices, Circular etc. from the Company in electronic mode are requested to register their email addresses with the Company by sending an email to ssd@ccilindia.co.in
10. The details as required under the Secretarial Standards on General Meetings issued by The Institute of Company Secretaries of India (ICSI), in respect of Directors seeking appointment/ re-appointment at this Annual General Meeting under Items No. 3, 4 and 5 of the Notice, is annexed hereto
11. The route map of the address of the meeting is also annexed hereto and is hosted along with the Notice on the website of the Company (www.ccilindia-lei.co.in)

EXPLANATORY STATEMENTS

As required by Section 102(1) of the Companies Act 2013, the following statement sets out all material facts relating to the business mentioned under Items No. 2 to 5 of the accompanying notice dated July 8, 2016.

Item No. 2:

In terms of the Companies Act, 2013 and Rules framed thereunder, the first statutory auditors of the Company, M/s. Lodha & Company, Chartered Accountants hold office till the conclusion of this first AGM of the Company. In terms of Section 140 (4) of the Companies Act, 2013, the Company has received a Special Notice from The Clearing Corporation of India Limited, Member, intending to appoint M/s Kalyaniwalla & Mistry, Chartered Accountants (Reg. no.104607W), as statutory auditors of the Company in place of M/s Lodha & Company, Chartered Accountants (Reg. no. 301051E). A copy of the said notice was also sent to M/s Lodha & Company, Chartered Accountants and no representations from them in respect thereof is received by the Company. The Board of Directors have recommended the appointment of M/s. Kalyaniwalla & Mistry, Chartered Accountants to hold office from the conclusion of this first annual general meeting until the conclusion of the sixth annual general meeting at a remuneration of Rs. 60,000/- towards audit fees exclusive of out of pocket expenses and service tax (which is to be reimbursed at actuals) for the Financial Year 2016-2017, subject to the ratification by the members at every Annual General Meeting, the reappointment of Statutory Auditors for the financial Years 2017-18 to 2020-21 at such remuneration as may be decided by the Board for the said financial years. The Company has received *inter alia*, a certificate of eligibility from M/s. Kalyaniwalla & Mistry, Chartered Accountants, confirming that they are eligible to be appointed under Sections 139 and 141 of the Companies Act, 2013 and are not disqualified under the Act, Chartered Accountants Act, 1949 and rules and regulations made thereunder.

The Board recommends the passing of the Item no. 2 as a Special Resolution for your approval.

The documents, if any, referred above, are made available for inspection in terms of Point 6 of the Notes to the accompanying notice.

None of the Directors of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolution.

Item No. 3:

Shri R Sridharan was named as one of First Directors in the Articles of Association which have been duly registered with the Registrar of Companies, Mumbai at the time of the incorporation of the Company. Pursuant to the provisions of Section 152 of the Companies Act, 2013 read with Article 127 of the Articles of Association of Company, Shri R Sridharan holds office upto the date of this Annual General Meeting. The Company has received a notice in writing from The Clearing Corporation of India Limited, member, along with the required deposit proposing the candidature of Shri R. Sridharan for the office of Directorship pursuant to Section 160 of the Companies Act, 2013.

A brief profile covering the details of his age, qualification, experience, terms and conditions of appointment, etc as required pursuant to the Secretarial Standards on General Meetings, is annexed to this Notice as Annexure I. The Board considers that on account of vast knowledge and experience of Shri R. Sridharan, his appointment will be in the interest of the Company.

The Board recommends the passing of the Item no. 3 as an Ordinary Resolution for your approval.

The documents, if any, referred above, are made available for inspection in terms of Point 6 of the Notes to the accompanying notice.

None of the Directors of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolutions except Shri R Sridharan.

Item No. 4

Shri V Chandrasekaran was named as one of First Directors in the Articles of Association which have been duly registered with the Registrar of Companies, Mumbai at the time of the incorporation of the Company. Pursuant to the provisions of Section 152 of the Companies Act, 2013 read with Article 127 of the Articles of Association of Company, Shri V. Chandrasekaran holds office upto the date of this Annual General Meeting. The Company has received a notice in writing from The Clearing Corporation of India Limited, member,

along with the required deposit proposing the candidature of Shri V. Chandrasekaran for the office of Directorship pursuant to Section 160 of the Companies Act, 2013.

A brief profile covering the details of his age, qualification, experience, terms and conditions of appointment, etc as required pursuant to the Secretarial Standards on General Meetings, is annexed to this Notice as Annexure I. The Board considers that on account of vast knowledge and experience of Shri V. Chandrasekaran, his appointment will be in the interest of the Company.

The Board recommends the passing of the Item no. 4 as an Ordinary Resolution for your approval.

The documents, if any, referred above, are made available for inspection in terms of Point 6 of the Notes to the accompanying notice.

None of the Directors of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolutions except Shri V. Chandrasekaran.

Item No. 5

Smt. Varsha Purandare was named as one of First Directors in the Articles of Association which have been duly registered with the Registrar of Companies, Mumbai at the time of the incorporation of the Company. Pursuant to the provisions of Section 152 of the Companies Act, 2013 read with Article 127 of the Articles of Association of Company, Smt. Varsha Purandare holds office upto the date of this Annual General Meeting. The Company has received a notice in writing from The Clearing Corporation of India Limited, member, along with the required deposit proposing the candidature of Smt. Varsha Purandare for the office of Directorship pursuant to Section 160 of the Companies Act, 2013.

A brief profile covering the details of her age, qualification, experience, terms and conditions of appointment, etc as required pursuant to the Secretarial Standards on General Meetings, is annexed to this Notice as Annexure I. The Board considers that on account of vast knowledge and experience of Smt. Varsha Purandare, her appointment will be in the interest of the Company.

The Board recommends the passing of the Item no. 5 as an Ordinary Resolution for your approval.

The documents, if any, referred above, are made available for inspection in terms of Point 6 of the Notes to the accompanying notice.

None of the Directors of the Company and / or their relatives are concerned or interested, financially or otherwise, in the said resolutions except Smt. Varsha Purandare.

**By Order of the Board of Directors,
For Legal Entity Identifier India Limited**

Sd/-

**R. Sridharan
Director
(DIN: 00868787)**

Mumbai, July 8, 2016

Registered Office:

CCIL Bhavan,

College Lane, Off S. K. Bole Road,

Dadar (West), Mumbai - 400 028

Tel: 61546200 ♦ Fax: 24326042

Website: www.ccilindia-lei.co.in

CIN- U74900MH2015PLC268921

Email id- ssd@ccilindia.co.in

Prominent Landmark: Near Our Lady of Salvation Church (Portuguese Church)

Annexure I**Details of Director seeking appointment at the 1st Annual General Meeting scheduled to be held on August 4, 2016.**

Name of Director	Shri R. Sridharan	Shri V. Chandrasekaran	Smt. Varsha Purandare
Category	Non-Executive Director, Promoter	Non Executive Director, Promoter	Non Executive Director, Promoter
Designation	Director	Director	Director
Date of Birth (Age)	01/07/1951 (65 years)	11/10/1957 (58 years & 8 months)	07/12/1958 (57 years & 7 months)
Date of first appointment on the Board	03/11/2015	03/11/2015	03/11/2015
Qualifications	Bachelor's degree in Commerce	Fellow Member of Institute of Chartered Accountants of India	Bachelor of Science, Diploma in Business Management and Associate of the Indian Institute of Bankers
Experience	Presently serving as Managing Director of The Clearing Corporation of India Ltd. and Clearcorp Dealing Systems (India) Ltd. Former Managing Director of State Bank of India and has nearly four decades of experience in the Banking Sector.	Presently serving as Executive Director (Investments: Operations) with LIC of India Around 30 years of experience in Life Insurance, Housing Finance and Mutual Funds.	Presently serving as a Managing Director and CEO of SBI Capital Markets Ltd. and has over three decades of experience in Banking, Forex, Treasury, etc.
Shareholding in the Company	Nil	Nil	Nil
Number of meeting of the Board attended during the Financial period 2015-16 / Number	3/3	3/3	3/3

of meetings held during the tenure of Directors			
Directorships held in other Companies as on July 8, 2016	<ol style="list-style-type: none"> 1. The Clearing Corporation of India Limited – Managing Director 2. Clearcorp Dealing Systems (India) Limited-Managing Director 	<ol style="list-style-type: none"> 1. Dena Bank – Shareholder Director 2. UTI Venture Funds Management Co. Pvt. Ltd. – Director 3. LIC HFL Asset Management Company Ltd. – Director 4. IL & FS Infra Asset Management Ltd. – Nominee Director 5. Aditya Birla Nuvo Ltd. – Nominee Director 	<ol style="list-style-type: none"> 1. SBI Capital Markets Ltd.- MD & CEO 2. SBICAP Securities Ltd. – Additional Director 3. SBICAP Ventures Ltd. – Nominee Director 4. SBICAP (UK) Ltd.- Director
Particulars of Committee Chairmanship/ Membership held in other Companies as on July 8, 2016	<p>The Clearing Corporation of India Limited</p> <ol style="list-style-type: none"> 1. Member of Committee for Bye Laws, Rules and Regulations (BRR) 2. Member of Technical Approval Committee (TAC) 3. Member of Committee on HR, Personnel and organizational matters of CCIL 4. Member of Committee of Directors on Risk Management 5. Member of Corporate Social Responsibility Committee 6. Chairman of 	<p>Dena Bank, member in the following committees:</p> <ol style="list-style-type: none"> 1. Audit Committee 2. Integrated Risk Management Committee 3. Share Transfer Committee 4. Committee for Monitoring Large Value Frauds 5. Remuneration Committee 6. Steering Committee of Board on HR 7. Committee of the Board to Review Non Cooperative Borrower 8. Committee of the Board for Review of Willful Defaulters 	<p>SBI Capital Markets Ltd. , member in the following committees:</p> <ol style="list-style-type: none"> 1. Committee of Directors 2. Risk Management Committee 3. Corporate Social Responsibility Committee <p>SBICAP Securities Ltd. , member in the following committees:</p> <ol style="list-style-type: none"> 1. Committee of Directors 2. HR Committee 3. Nomination and Remuneration Committee

	<p>Premises Advisory Committee (External Committee)</p> <p>Clearcorp Dealing Systems (India) Limited</p> <p>1. Member of Corporate Social Responsibility Committee</p>	<p>LIC HFL Asset Management Ltd., member in the following committees:</p> <p>1. CSR Committee</p> <p>2. HR Committee</p>	<p>4. Risk Management Committee</p> <p>5. Audit Committee</p> <p>6. Corporate Social Responsibility Committee</p>
Relationship with other Directors / KMP of the Company	None	None	None
Terms and Conditions of appointment/ re-appointment	Director liable to retire by rotation.	Director liable to retire by rotation and sitting fees as mentioned herein below shall be paid.	Director liable to retire by rotation and sitting fees as mentioned herein below shall be paid.
Remuneration sought to be paid	Nil	Sitting fees of Rs. 20,000/- for attending per meeting of the Board or such amount as may be decided by the Board, subject to the ceiling prescribed from time to time, under Companies Act 2013 and Rules thereunder	Sitting fees of Rs. 20,000/- for attending per meeting of the Board or such amount as may be decided by the Board, subject to the ceiling prescribed from time to time, under Companies Act 2013 and Rules thereunder
Remuneration last drawn during Financial period- 2015-2016	NA	Total Sitting fees of Rs.60,000/- paid.	Total Sitting fees of Rs.60,000/- paid.

Attendance Slip

CIN: U74900MH2015PLC268921

Name of the Company: LEGAL ENTITY IDENTIFIER INDIA LIMITED
Registered office: CCIL Bhavan, College Lane, Off S. K. Bole Road, Dadar (West), Mumbai
- 400 028. Tel: 61546200 ♦ Fax: 24326042 Website: www.ccilindia-lei.co.in

Name of the Member:

Registered Address:

Folio No:

No. of Shares:

I/ We hereby record my/ our presence at the 1st Annual General Meeting of the Company held on Thursday, August 4, 2016 at 5.30 pm at CCIL Bhavan, College Lane, Off. S. K. Bole Road, Dadar (West), Mumbai-400 028.

Signature of Member/ Proxy

Form No. MGT-11

Proxy Form

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN: U74900MH2015PLC268921

Name of the company: LEGAL ENTITY IDENTIFIER INDIA LIMITED

Registered office: CCIL Bhavan, College Lane, Off S. K. Bole Road, Dadar (West), Mumbai - 400 028. Tel: 61546200 ♦ Fax: 24326042 Website: www.ccilindia-lei.co.in

Name of the member (s):

Registered address:

E- Mail Id:

Folio No/ Client Id:

DP ID:

I/ We, being the member (s) of shares of the above named company, hereby appoint

1. Name:

Address:

E-mail Id:

Signature:....., or failing him

2. Name:

Address:

E-mail Id:

Signature:....., or failing him

3. Name:

Address:

E-mail Id:

Signature:.....

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 1st Annual General Meeting/ ~~Extraordinary General Meeting~~ of the Company, to be held on the Thursday, Fourth day of August , 2016 at 5.30 p.m. at CCIL Bhavan, College Lane, Off. S. K. Bole Road, Dadar (West), Mumbai-400 028 and at any adjournment thereof in respect of such resolutions as are indicated below:

Resolution No.	Resolutions
ORDINARY BUSINESS	
1.	Adoption of the Audited Financial Statements of the Company for the financial period ended March 31, 2016, the reports of the Board of Directors and Auditors thereon;
2.	Appointment of M/s. Kalyaniwalla & Company, Chartered Accountants as Statutory Auditors of the Company for a term of 5 years and fix their remuneration for the financial year 2016-17.
SPECIAL BUSINESS	
3.	Appointment of Shri R. Sridharan as Director of the Company, liable to retire by rotation.
4.	Appointment of Shri V. Chandrasekaran as Director of the Company, liable to retire by rotation.
5.	Appointment of Smt. Varsha Purandare as Director of the Company, liable to retire by rotation.

Signed this..... day of..... 20....

Affix Rs. 1/- Revenue Stamp

Signature of shareholder

Signature of Proxy holder(s)

Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.